

# IAIABC CLAIMS RELEASE 3 STANDARDS: EVENT TABLE INSTRUCTIONS

The Event Table is designed to provide information integral for a sender to understand the receiver's EDI reporting requirements. It relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect jurisdictions' legislative mandates and specifications relative to reporting requirements based on various criteria. The Event Table is used and controlled by the Receiver to convey the level of EDI reporting currently accepted. When a jurisdiction makes changes to its Claims Event Table, consideration should be given to the suggested implementation dates established in the *EDI IG Publication Standards* on the [IAIABC EDI](#) web page.

## Step by Step Event Table Population

The following steps are involved in populating an Event Table:

1. IAIABC Claims Release number
2. Determine MTCs to be received electronically
3. Specify Event Rules
4. Define trigger criteria and values
5. Define when the report is due
6. Define follow-up paper Form and the Receiver of the form (if applicable)
7. Define Periodic reporting requirements (if applicable)

### 1. Release

Indicate IAIABC Claims Release number. Jurisdictions migrating from previous IAIABC Claims releases refer to *Migrating From Previous Release Scenario* in the **Event Table Scenarios & Examples** section of these instructions.

### 2. Determine Maintenance Type Codes (MTCs) to be received electronically

The first decision in populating the event table is to determine which MTCs will be processed electronically. **Form to MTC Crosswalk** worksheet will assist in this determination. This paper form column will also assist trading partners in the transition from paper report to electronic reports. The worksheet contains a quick reference including the definitions for each MTC and a column to note the paper form that was formerly used to convey the information that will now be sent electronically. A "Comment" column is provided in case additional details describing form-to-EDI MTC migration is necessary. Yes/No column should be completed to indicate whether the MTC will be accepted electronically. Using the completed worksheet, hide rows in the Event Table containing MTCs that will not be accepted under any circumstances.

MTC UR (FROI or SROI): When consistent UR reporting requirements can be defined, jurisdictions should indicate their UR reporting requirements. Jurisdictions that may have varying UR requirements should reserve the right to define the requirements when the request for a UR report is made.

### 3. Event Rules

Rule dates are used to express differences in reporting requirement based on dates of injury, EDI implementation dates, or other jurisdiction workers compensation reporting laws and rules. For each of the MTCs from Step One that will be accepted by the jurisdiction electronically, consult the governing documents for the jurisdiction and determine whether there are differing rules based on dates. If so, enter one line for each date period. In cases where multiple rules exist, each must be expressed on the Event Table for the applicable report type (FROI, SROI or Periodic report table) as a separate row.

Event Rule Criteria: The Criteria used to define the Event Rule that causes the report to be required by the jurisdiction for the FROM and THRU dates. Insert the appropriate Criteria code.

1. Date of Injury
2. EDI Mandate Date
3. Jurisdiction defined (if this code is used, a definition of jurisdiction's requirement must be provided)

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Event Rule “From”: The first date that a claim meeting the Report Trigger Criteria must be reported for a specific report. Insert the date that the rule went into effect

Event Rule “Thru”: The last date that a report for a claim meeting the Report Trigger Criteria must be reported. The Thru date should only be included when a new rule replaces the current rule. Insert the end date for the rule, when applicable. For MTCs where the rules are the same regardless of dates, only populate the From Date.

## 4. Define Report Trigger Criteria and Values

Report Trigger *Criteria Codes* describe the events that cause a report to be due for submission to the jurisdiction on a claim that occurred within the Event Rule Effective Dates. Trigger values further define the reporting requirements by describing the specific values associated with *Criteria code*.

For each MTC and Event Rule, insert the applicable Trigger *Criteria Code* and compose a *Trigger Value* description. In order for senders to understand the filing requirements for the *Criteria Code*, at a minimum the *Trigger Value* description(s) should include the following:

<i>Criteria code:</i>	<i>Trigger Value:</i>
A = New Claim	Consideration should be given to conditions related to the filing requirement. i.e. 04 (Denial), UI (Under Investigation) or 00 (Original) may meet the “first report” filing requirement. The <i>Trigger Value</i> for the 00 (Original First Report) might be expressed as: “All claims that are not under investigation or being denied”
B = Cumulative Medical \$	Description should include the cumulative amount that makes the report due
C = Lost Time	Description should include the length of time in <i>Trigger Value</i> description
D = Cumulative Wage Replacement	Description should include the cumulative amount that makes the report due
E = Days Open	Description should include the length of time in <i>Trigger Value</i> description
F = Formula	Describe the formula
J = Jurisdiction Defined	Describe the “jurisdiction specific” condition. i.e. UR MTC: Due to the dynamic nature of the UR MTC, jurisdictions may wish to reserve the right to define the due dates, element requirements, etc when the jurisdiction deems the UR report necessary.
L = Determination of Compensable Death	
M = MTC Defined	Describe the “MTC” condition. I.e. a CO (Correction) report might be expressed as: “Correction of errors in response to a FROI TE acknowledgment”
N = Cumulative Indemnity \$	Description should include the cumulative amount that makes the report due
Q = Employee Death	

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### 5. When the Report is Due?

Expressing the regulations that meet the jurisdiction's filing deadlines may be described with the *Value*, *Type* and *From*, as needed. For each MTC and Event Rule Effective Dates, insert the number of days in the *Value* column, "B" for business days or "C" for calendar days for the applicable *From* code

<i>Value</i>	<i>Type</i>	<i>From</i>
represents the last date/days a jurisdiction will consider this MTC to be timely filed, and not the date on which it is recommended the transaction be initially triggered.	indicates whether the <i>Value</i> is measured in business or calendar days	represents the beginning point for the <i>Value</i>  <u>Report Due From Code</u> A = From Date of Accident/Injury B = From Date of Disability C = From Employer Notification D = From Claim Administrator Notification E = From Jurisdiction Notification F = From Carrier Notification G = From Initial Payment (IP) H = Immediate I = From Date of Death J = From Report Trigger Value K = Prior to Final Report (FN)
<b>Example:</b>		
5	Business days	D = From Claim Administrator

### 6. Paper Form(s) and the Receiver

The final columns on the FROI and SROI event tables are used to convey to the sender any paper reports that are required to be filed at the same time as the EDI transmission is sent. For each MTC and Event Rule insert the form number that is required. When a form is entered, indicate the *Receiver* to whom the form is to be sent. If paper forms are not required, insert "NA" in the cells.

#### Receiver Codes

EE = Employee

ER = Employer

PR = Provider

Others as defined by jurisdiction

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## 7. Periodic Reports

- a. Using the completed Step 1 worksheet, hide rows containing MTCs that will not be accepted under any circumstances
- b. *Event Rules* described Step 2
- c. *Define Report Trigger Criteria and Trigger Values*  
Report Trigger Criteria describes the condition that causes a report to be triggered to the jurisdiction based on the Trigger Value. Trigger Value further defines the timing of the report requirements.
- d. *Periodic Qualifiers*
  - Status indicate the claim status to be selected and reported
    - 1 = Open (If claim is open at time of Report Trigger)
    - 2 = Closed (If claim has closed since the last periodic report)
    - 3 = Either (if claim is open or has closed since the last periodic report)
  - Activity indicate the claim activity to be selected and reported
    - E = Either (either IL or MB)
    - IL = Indemnity (If Claim Type Code = Indemnity or Became Lost Time)
    - J = Jurisdiction defined (define details in column)
    - MB = Medical Only (If Claim Type Code = Medical Only or Became Medical Only)
- e. *Periodic Report Due.* Expressing the regulations that meet the jurisdiction's filing deadlines may be described with the *Value*, *Due Type* and *From*, as needed. For each MTC and Event Rule Effective Dates, insert Report Due as follows:

<i>Value</i>	<i>Due Type</i>	<i>From</i>
represents the last date/days a jurisdiction will consider this MTC to be timely filed, and not the date on which it is recommended the transaction be initially triggered.	indicates whether the <i>Value</i> is measured in business or calendar days when a specific date is not otherwise expressed	represents the beginning point for the <i>Value</i>  <u>Report Due From Code</u> A = From Date of Accident/Injury J = From Report Trigger Value L = Jurisdiction Defined
<b>Example:</b>		
180	Calendar days	J – Report Trigger value

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## Event Table Scenarios & Examples

### FROI 00 Event Rules Scenario One

On 01/01/1996, The State of Jurisdiction imposed a new rule requiring the submission of a FROI 00 on injury dates on or after January 1, 1996 when lost time is greater than three (3) days. The former rule required the FROI submission after only one (1) lost day. In both cases, the report is due 14 business days from the date the claim administrator had knowledge of the injury. Additionally, a Form WC-1 must be sent to the employee at the time that the FROI 00 is filed.

Maintenance Type		Event Rule Date			What triggers the report?		When is the Report Due?			Paper Form	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Type	From		
00	Original	1 = Date of Injury	01/01/1990	12/31/1995	C = Lost Time	Lost time > 1 day	14 days	B	D = Claim Administrator Notification	WC-1	EE
00	Original	1= Date of Injury	01/01/1996		C = Lost Time	Lost time >3 days	14 days	B	D = Claim Administrator Notification	WC-1	EE

### FROI 00 Event Rules Scenario Two

The State of Jurisdiction requires the submission of a FROI 00 on injury dates on or after January 1, 1996 when lost time is greater than three (3) days **or** when medical expenses have exceeded \$1500. The report must be received within 14 calendar days of the claim administrator's knowledge, and there are no follow-up forms required.

Maintenance Type		Event Rule Date			What triggers the report?		When is the Report Due?			Paper Form	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Type	From		
00	Original	1 = Date of Injury	01/01/1996		C = Lost Time	Lost time > 3 days	14 days	C	D = Claim Administrator Notification	NA	
00	Original	1= Date of Injury	01/01/1996		B = Cumulative Medical \$	>\$1500	14 days	C	D = Claim Administrator Notification	NA	

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## FROI CO Event Rules Scenario One

When the jurisdiction has responded to a filing with an injury date on or after January 1, 1990 with an acknowledgment code of TE (Accepted with Errors), the Correction report, FROI CO, is required to be submitted before the Final (SROI FN) is filed.

Maintenance Type		Event Rule Date			What triggers the report?		When is the Report Due?			Paper Form	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Type	From		
CO	Correction	1 = Date of Injury	01/01/1990		Response to TE				J = Prior to Final filing	NA	

## FROI CO Event Rules Scenario Two

When the jurisdiction has responded to a filing with an acknowledgment code of TE (Accepted with Errors), the Correction report, FROI CO, is required to be submitted within 30 calendar days.

Maintenance Type		Event Rule Date			What triggers the report?		When is the Report Due?			Paper Form	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Type	From		
CO	Correction	1 = Date of Injury	01/01/1990		Response to TE		30 days		D = Claim Administrator Notification	NA	

## SROI IP Event Rules Scenario One

The State of Jurisdiction requires notification of the first indemnity payment on each claim whose injury date is on or after January 1, 1990. In other words, the report is triggered when cumulative indemnity costs are greater than zero. The SROI IP is due within 21 business days of the issuance of the payment. There are no paper follow-up forms required.

Maintenance Type		Event Rule Date			What triggers the report?		When is the Report Due?			Paper Form	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Type	From		
IP	Initial Payment	1 = Date of Injury	01/01/1990		N = Cumulative Indemnity	>\$0	21 days	B	D = Claim Administrator Notification	NA	

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### SROI IP Event Rules Scenario Two

The State of Jurisdiction requires notification of the first indemnity payment on each claim. Prior to 07/01/1999, the filing was only required when cumulative indemnity was greater than \$1000. In either case, the SROI IP is due within 21 business days of the issuance of the payment. There are no paper follow-up forms required.

Maintenance Type		Event Rule Date			What triggers the report?		When is the Report Due?			Paper Form	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Type	From		
IP	Initial Payment	1 = Date of Injury	01/01/1990	06/30/1999	N = Cumulative Indemnity	>\$1000	21 days	B	D = Claim Administrator Notification	NA	
IP	Initial Payment	1 = Date of Injury	07/01/1999		N = Cumulative Indemnity	>\$0	21 days	B	D = Claim Administrator Notification	NA	

### SROI MN Event Rules Scenario

The State of Jurisdiction requires that a monthly report be filed for every indemnity or medical only claim where indemnity and/or medical benefits were paid during the reporting month. The report is triggered on the 1<sup>st</sup> day of the month following the reporting month until the final report (SROI FN) is sent. The MN reports are due to the jurisdiction by the 15<sup>th</sup> day of the month following the reporting month.

Report Type	Maintenance Type		Event Rule			Report Trigger		Periodic Qualifiers		Periodic Report Due		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Status	Activity	Value	Due Type	From
SROI	MN	Monthly	2 - EDI Mandate Date	1/1/1996		Last day of month	1 <sup>st</sup> day of the following month	1 - (claim is open at time of Report Trigger)	E - Either (either Indemnity or Medical Only)	15 <sup>th</sup> day		Of the following month

### SROI AN Event Rules Scenario

The State of Jurisdiction requires that an Annual report be filed for every indemnity or medical only claim where indemnity and/or medical benefits were paid during the reporting year. The report is triggered at 365 day intervals from the date of injury until the final report (SROI FN) is sent. The AN reports are due to the jurisdiction within 30 calendar days of the anniversary of the date of injury.

Report Type	Maintenance Type		Event Rule			Report Trigger		Periodic Qualifiers		Periodic Report Due		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Status	Activity	Value	Due Type	From
SROI	AN	Annual	2 - EDI Mandate Date	1/1/1996			Anniversary of DOI	1 - (claim is open at time of Report Trigger)	E - Either (either Indemnity or Medical Only)	30	C - Calendar Days	J - Report trigger value

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## SROI SA Event Rules Scenario

The State of Jurisdiction requires one periodic report 180 days from the date of injury for claims that have not been closed with a final report (FN). The report is triggered 180 days from the date of injury. The SA report is due to the jurisdiction within 30 calendar days of the Report Trigger Value.

Report Type	Maintenance Type		Event Rule			Report Trigger		Periodic Qualifiers		Periodic Report Due		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Status	Activity	Value	Due Type	From
SROI	SA	Sub-Annual	2 - EDI Mandate Date	1/01/1996		Days from DOI	180 days	1 - open	IL (Indemnity or Became Lost Time)	30 Days	Calendar Days	J- Report trigger value

## Migrating From Previous Release Scenario

The state of Jurisdiction migrates from Claims Release 1 to Claims Release 3 effective August 1, 2008. Jurisdiction will accept Claims EDI reporting in Release 1 format up to July 31, 2008. All Claims electronic filings must be in Release 3 format beginning August 1, 2008. Report trigger criteria do not change with the new mandatory filing requirements. Jurisdiction indicates *Thru* date indicating when Release 1 filing requirements end and *From* date for new Release 3 filing requirements must begin.

Release	Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?		
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From
1.0	FROI	00	Original	2 - EDI Mandate Date	1-1-90	7/31/08	A = New Claim, C = Lost Time	All claims including Indemnity and Medical, that are not being denied	21 Days*	C	D = Days <b>after</b> Claim Admin. Notified of <b>Injury</b>
3.0	FROI	00	Original	2 - EDI Mandate Date	8/1/08		A = New Claim, C = Lost Time	All claims including Indemnity and Medical, that are not being denied	21 Days*	C	D = Days <b>after</b> Claim Admin. Notified of <b>Injury</b>