INSTRUCTIONS FOR SELF-REPRESENTED LITIGANTS

Appellant Packet

To appeal a final decision from the Alaska Workers' Compensation Board to the Alaska Workers' Compensation Appeals Commission

This pamphlet is for informational purposes only. The statutes, regulations, and caselaw control over anything said to the contrary here.

Alaska Workers' Compensation Appeals Commission 3301 Eagle Street, Suite 305 Anchorage, AK 99503

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Webpage: https://labor.alaska.gov/wccomm

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SCHEDULE AND FILING TIMELINE FOR AN APPEAL

APPELLANT	ALASKA WORKERS' COMPENSATION	APPELLEES
(Litigant who files an appeal)	APPEALS COMMISSION	(All litigants to Board case who did not file appeal)
File notice of appeal not later than 30 days after Board decision date with \$50 filing fee or motion to excuse payment of filing fee and/or transcript cost.		Cross-appeal may be filed not later than 30 days after Board decision date <u>or</u> not later than 15 days after date shown in certificate of service, unless served by mail, of notice of appeal, whichever is later. No filing fee.
	Upon receipt of complete notice of appeal, Chair assigns Commissioners.	
May file motion to disqualify a Commissioner not later than 10 days after date shown in certificate of distribution, unless distributed by mail, of Commissioner assignments notice.		May file motion to disqualify a Commissioner not later than 10 days after date shown in certificate of distribution, unless distributed by mail, of Commissioner assignments notice.
	Clerk issues notice to Board requesting record, hearing recordings not previously transcribed, and list of previously prepared hearing transcripts.	
	Hearing recordings not previously transcribed and list of previously prepared hearing transcripts due not later than 15 days after date shown in certificate of distribution, unless distributed by mail, of Clerk's notice. Clerk issues notice of hearing recordings and transcripts.	
Designation of Board hearing recordings for transcription due not later than 10 days after date shown in certificate of distribution, unless distributed by mail, of Clerk's Notice of Board Hearings.		May file not later than 10 days after date shown in certificate of service, unless served by mail, of appellant's designation, a designation of essential Board hearing recordings not designated by appellant.
Contact Clerk to coordinate transcript preparation not later than 10 days after date shown in certificate of service, unless served by mail, of appellee's designation for transcription, or after due date for appellee's designation has passed.	Clerk provides designated hearing recordings to transcriptionist and notifies litigants of date transcripts are due.	
	Board record due not later than 45 days after date shown in certificate of distribution, unless distributed by mail, of Clerk's notice to the Board.	
	Upon receipt of record and transcripts, Chair issues Notice and Instructions to File Briefs.	
Appellant's opening brief and excerpt of record due not later than 30 days after date shown in certificate of distribution, unless distributed by mail, of Brief Notice. Extensions will change due date.		Responsive brief to appellant's opening brief and excerpt of record due not later than 30 days after date shown in certificate of service, unless served by mail, of appellant's brief. Extensions will change due date.
Reply brief to appellee's responsive brief may be filed not later than 20 days after date shown in certificate of service, unless served by mail, of appellee's brief. Extensions will change due date.		
Written request for oral argument may be filed not later than 10 days after date on which last brief is filed or due to be filed.		Written request for oral argument may be filed not later than 10 days after date on which last brief is filed or due to be filed.
	Appeal is decided based on record, transcripts, briefs, and oral argument (if held); has 90 days to issue its decision.	

In the event a document is served or distributed by mail, three calendar days are added to the prescribed time period, 8 AAC 57.055 and 8 AAC 57.057(a).

INSTRUCTIONS FOR SELF-REPRESENTED APPELLANTS

To appeal a final decision from the Alaska Workers' Compensation Board to the Alaska Workers' Compensation Appeals Commission

INTRODUCTION

If you believe the Alaska Workers' Compensation Board (Board) made mistakes when it decided a workers' compensation claim or petition to which you were a party, you have the right to appeal the Board's final decision to the Alaska Workers' Compensation Appeals Commission (Commission).

The laws that govern the Commission and appeal procedures are in the Alaska Workers' Compensation Act (AS 23.30.001 - .395) and the Commission's regulations in the Alaska Administrative Code (8 AAC 57.010 - .990).

The Alaska Statutes are at http://www.legis.state.ak.us/basis/folio.asp.

The Commission's regulations are at http://www.labor.alaska.gov/wccomm; select "Appeal Procedures."

Information may be found in the Alaska Supreme Court cases cited in the decision. The cases are available at http://government.westlaw.com/akcases.

Appeals may involve complicated legal procedures or complex legal issues. You should consider consulting an attorney if you want to appeal; however, you are not required to be represented by an attorney in order to do so.

Before you appeal, please read the information that follows about the Commission and the appeal process. If you have questions, contact the Commission Clerk at:

Alaska Workers' Compensation Appeals Commission 3301 Eagle Street, Suite 305 Anchorage, AK 99503 Telephone: (907) 269-6738

E-mail: awcac.clerk@alaska.gov

A. What is the Commission? The Commission is an agency in the Alaska Department of Labor and Workforce Development that hears appeals of the Board's final decisions. The Commission has 5 members (Commissioners), 3 of whom are assigned to each panel that decides an appeal. All Commissioners, including the Chair, are appointed by the Governor and confirmed by the Alaska Legislature.

Two Commissioners, because of their backgrounds and employment, are considered employee representatives. They must have served on the Board for at least 18 months before being appointed to the Commission. One employee representative is assigned to each panel.

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The third Commissioner assigned to each panel is the Commission Chair, an attorney who has engaged in the active practice of law for at least five years in Alaska, with experience in Alaska workers' compensation law.

All Commissioners on a panel have equal votes in deciding an appeal; the Chair does not tell the other Commissioners how to vote.

- **B. Commission Staff.** The Commission staff may explain procedures, regulations, records, and forms. The staff <u>cannot</u> give legal advice or predict what the Commission will do, and <u>cannot</u> advise a party:
 - what to say in an appeal;
 - whether a particular case or statute applies in an appeal;
 - whether a document the party prepared is going to persuade the Commission to rule in the party's favor; or
 - to choose 1 procedure as the best to accomplish the party's objective if more than 1 procedure is available.

The Commission staff may advise a party:

- where to find a case or statute and how to cite it;
- how to prepare a document so the format is correct; or
- what procedures are available and what each requires.
- **C. Appeals to the Commission.** An appeal to the Commission is a review of the Board's final decision; it is not a new Board hearing. **Note:** <u>In an appeal, the Commission cannot accept any new evidence regarding the claim or petition decided by the Board. The only information the Commission will consider in an appeal is:</u>
 - 1. The transcripts (typed text of what was said) of Board hearings;

- 2. Any items offered as evidence at the Board hearing;
- 3. The documents and depositions in the Board file;
- 4. Legal briefs filed in the appeal; and
- 5. Oral argument, if held.

II. DEFINITIONS

- **A. Appellant.** The party that begins an appeal.
- **B. Appellee.** One of the other parties to the Board proceeding who did not begin an appeal.
- **C. Brief.** A document that states a party's legal arguments.
- **D. Days.** A day is a calendar day and ends at 5:00 p.m., Alaska time. When counting days, include Saturday, Sunday, and legal holidays. Start counting the day following the triggering event. For example, an appeal must be filed not later than 30 days after the date the final decision was issued by the Board (date on the first page of the decision). If the Board issued its decision on September 2, count September 3 as day 1 of the 30 days. If the last day falls on a Saturday, Sunday, or legal holiday, the last day of the time period is the next day that is not a Saturday, Sunday, or legal holiday. See 8 AAC 57.060.
- **E. Filing.** A document is filed with the Commission by faxing, mailing, emailing, or delivering it to the Commission, and is considered filed on the day it is received by the Commission, not on the day it is mailed. Documents received after 5:00 p.m., Alaska time, will be considered filed the next day that is not a Saturday, Sunday, or legal holiday. See 8 AAC 57.040(a) and (c); 8 AAC 57.050(a) (f).
- **F. Motion.** A request for specific action by the Chair or Commission. For example, a party requests an extension of time to file a document. *See* 8 AAC 57.090 and 8 AAC 57.210.
- **G. Party.** An individual, company, or organization that participates in an appeal.
- **H. Service.** Every document filed with the Commission must be sent to each of the other parties. If a party is represented by an attorney, serve the attorney instead of the party. Service means delivering or mailing a copy of the document to each of the other parties on the same day the document is filed with the Commission or, if a party being served has given consent, by faxing or emailing a copy of the document to the party on the same day. See 8 AAC 57.040 and 8 AAC 57.050. If an appellee filed a notice of nonparticipation, service on that non-participating appellee is not necessary. See 8 AAC 57.040(b)(1).

Note: Proof that each of the other parties was served on the same day must be shown on the document being filed or in a separate document filed simultaneously. See 8 AAC 57.040(h). The forms the Commission provides include a Certificate of Service that, if completed, satisfies the proof of service requirement. An example of

appears below. The party serving the document fills in the identities of the parties being served, the date served, the method of service, and signs the certificate.

Note: If a party is represented by an attorney, the document must be served on the party's attorney. *See* 8 AAC 57.040(e). If a party is an agency of the State of Alaska, you must send a copy of the document to the Attorney General in Juneau and to the Assistant Attorney General who appeared in the Board proceeding. When the Assistant Attorney General has filed an entry of appearance, the Attorney General in Juneau no longer needs to be served.

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on this same date a comple delivered to the parties check	hand delivered to the Alaska Nete copy of this document waked at the addresses listed below	opeal and Statement of Grounds were ☐ mailed, Workers' Compensation Appeals Commission, and s ☐ mailed, ☐ faxed, ☐ emailed, or ☐ hand w. (Attach more pages if needed.)
Required: Director, Alaska Workers' Compensation Division P.O. Box 115512 Juneau, AK 99811	If opposing party is a State agency: Attorney General's Office P.O. Box 110300 Juneau, AK 99811-0300	Opposing party <u>or</u> party's attorney:
Print name of person who	served document	Signature of person who served document

- Consent to Service by Fax and/or Email. Service of documents on opposing parties must be by mail or hand delivery, <u>unless the party being served has filed a notice of consent to service by fax and/or email</u>. If you wish to be served by fax or email, you must file and serve AWCAC Form 09, Consent to Service by Fax and/or Email. Complete the form by:
 - a. Filling in the caption information as it is shown on the Notice of Appeal.
 - b. In the first paragraph, print your name and check the box for Appellant.
 - c. Provide a fax number and/or email address at which you can be served.
 - d. Sign, date, and provide a mailing address and telephone number.
 - e. Fill out the Certificate of Service at the bottom of the form by:
 - 1) Filling in the date the document is served.
 - 2) Checking the box for either mailed, faxed, emailed, or hand delivered to the Commission.
 - 3) Checking the box for either mailed, faxed, emailed, or hand delivered to the other parties.
 - 4) Checking the box for opposing party and filling in the name and address (if served by mail or hand delivery), name and fax number (if served by fax), or name and email address (if served by email).
 - 5) Print name and sign.

2. **Change of Contact Information.** It is your responsibility to inform the Commission and opposing parties of any change in your mailing address, telephone number, fax number, or email address. You may file and serve AWCAC Form 25, Change of Contact Information.

III. FILING AN APPEAL

A. Who May File an Appeal. If you believe the Board made mistakes in its final decision, you may file an appeal.

B. When an Appeal May Be Filed.

- 1. An appeal may be filed only after all Board proceedings, including a petition for reconsideration filed with the Board, have been completed and the Board has issued a final decision.
- 2. You must file the Notice of Appeal with the Commission not later than 30 days after the date the final Board decision was issued. See AS 23.30.127 and 8 AAC 57.070(a). The 30-day period begins the day after the Board issued the decision.
- 3. If a party timely filed a petition for reconsideration with the Board, the 30-day period for filing a Notice of Appeal does not begin until the day after the Board issues its decision on reconsideration or the day after the time expires for the Board to act on the petition, whichever is earlier. If the Board is going to act on the petition for reconsideration, it must act not later than 30 days after the day the original final decision was issued.
 - If the time expires for the Board to act on the petition, it is considered denied due to the absence of any action. A Notice of Appeal must be filed not later than 30 days after the date the petition for reconsideration was considered denied. Contact the Clerk if you have questions about calculating the time you have to file an appeal.
- 4. If you want to file a Notice of Appeal after the 30-day period for filing, you must also file and serve a motion requesting the Commission extend the time period for you to file your appeal. See 8 AAC 57.140(h). You may file and serve AWCAC Form 02, Motion for Extension of Time to File Notice of Appeal. Your motion must state why your appeal is late and you may need to file evidence to support your motion. The Commission may or may not grant the motion.

C. How to File an Appeal. To file an appeal, do the following:

- 1. <u>File a Notice of Appeal</u>. *See* AS 23.30.127(a) and (b), and 8 AAC 57.070. You may use AWCAC Form 03, Notice of Appeal and Statement of Grounds for Appeal, or write your own. The Notice of Appeal must:
 - a. Identify the Board decision(s) being appealed by the decision number(s) and have copies of the decision(s) attached. **Note:** If you have more than 1 case before the Board and the Board joined the cases before it issued its final

- decision, you must list all the joined case numbers on your Notice of Appeal so that the record on appeal is complete.
- b. <u>Statement of Grounds for Appeal</u>. Here you state the issues or reasons why you believe the Board erred in its decision. You may use AWCAC Form 03, Notice of Appeal and Statement of Grounds for Appeal, and you may attach more pages if needed. <u>Briefly list the issues or reasons</u> in your Statement of Grounds for Appeal. Later in the appeal process, when you write your brief, you will discuss and present your arguments in more detail for each reason or issue you listed in your Statement of Grounds for Appeal;
- c. State your name, address, telephone number, fax number, and email address;
- d. State the names, addresses, telephone numbers, fax numbers, and email addresses of each of the other parties to the Board proceeding <u>and</u> the names, addresses, telephone numbers, fax numbers and email addresses of each attorney known to be representing a party; and
- e. Show proof of service on all parties to the Board proceeding <u>and</u> the Director of the Division of Workers' Compensation, P.O. Box 115512, Juneau, AK 99811-5512, on the same day. <u>The Notice of Appeal and Statement of Grounds for Appeal are the only documents you must serve on the Director in your appeal. Serve future documents only on the attorney for the other party.</u>
- 2. If a party is an agency of the State of Alaska, you must also serve:
 - a. the Attorney General of Alaska, P.O. Box 110300, Juneau, AK 99811-0300; and
 - b. the Assistant Attorney General who represented the State of Alaska in the Board proceeding.
- 3. Filing Fee. You must either:
 - a. Pay a \$50 filing fee by money order, certified check, or cashier's check made payable to the State of Alaska; or
 - b. If you cannot afford to pay the filing fee, you must file and serve AWCAC Form 07, Motion to be Excused from Payment of Filing Fee and/or Transcript Cost, <u>and</u> AWCAC Form 01, Financial Statement Affidavit, with your Notice of Appeal. *See* 8 AAC 57.070(d) and 8 AAC 57.090.
- 4. Exemption from Transcript Cost. A transcript is a typewritten document that tracks word-for-word the testimony recorded during a Board hearing. As the appellant, you are required to pay the cost of transcribing the parties' designated Board hearing recordings that have not been previously transcribed. See 8 AAC 57.120(I). If you cannot afford to pay the transcript cost, you must file and serve AWCAC Form 07, Motion to be Excused from Payment of Filing Fee

and/or Transcript Cost, <u>and</u> AWCAC Form 01, Financial Statement Affidavit, with your Notice of Appeal.

You may contact the Clerk to get an estimate of the transcript cost to help you decide if you can afford to pay the transcript cost. Transcript costs are based on the length of time of a Board hearing.

5. <u>Docket Notice</u>. If your Notice of Appeal does not include all required items, the Clerk will send to all parties a Docket Notice listing the missing required items and giving you time to file them.

IV. AFTER YOUR APPEAL IS FILED

- **A. Assignment of Commissioners.** After you have filed a complete Notice of Appeal, the Chair will issue a notice identifying the Commissioners assigned to your appeal. If you believe a Commissioner assigned to your appeal, including the Chair, should be disqualified from deciding your appeal for a reason stated in AS 23.30.007(/) due to a potential conflict of interest or an inability to be fair and impartial, you should file and serve a motion to remove and replace that Commissioner not later than 10 days after the date in the Certificate of Distribution, unless distributed by mail, of the Notice of Commissioner Assignments. See 8 AAC 57.080(c). You may use AWCAC Form 12, Motion/Request.
- **B. Docket Notice.** After you have filed a complete Notice of Appeal, the Clerk will send to all parties, the Board's Appeals Clerk, and the Director of the Division of Workers' Compensation, a Docket Notice requesting the Board's Appeals Clerk transfer to the Commission
 - all Board hearing recordings not previously transcribed and a list of all Board hearing recordings previously transcribed, not later than 15 days from the date in the Certificate of Distribution of the Docket Notice; and
 - 2. the entire Board file, not later than 45 days from the date in the Certificate of Distribution of the Docket Notice.

NOTE: If appellee files a Notice of Cross-Appeal, the Clerk will send to all parties a Docket Notice notifying them of the cross-appeal. The case will retain the same appeal number and the case caption will be changed to reflect the cross-appeal.

C. Designation of Board Hearing Recordings for Transcription. After the Clerk receives the Board hearing recordings not previously transcribed and list of Board hearing recordings previously transcribed, the Clerk will issue a notice to all parties with instructions for filing designations for transcription. The notice will identify which Board hearing recordings have been previously transcribed and which Board hearing recordings have not been previously transcribed. See 8 AAC 57.120(a). Not

In the event a document is served or distributed by mail, three calendar days are added to the prescribed time period, 8 AAC 57.055 and 8 AAC 57.057(a).

later than 10 days after the date in the Certificate of Distribution, unless distributed by mail, of the notice, you must file and serve a designation for transcription of all Board hearing recordings that have <u>not</u> been previously transcribed that are essential to consideration of the issues in your appeal. *See* 8 AAC 57.120(b). You may use AWCAC Form 11, Designation of Hearing Recordings for Transcription.

Not later than 10 days after the date in the Certificate of Service, unless served by mail, of your designation for transcription, appellee may file a designation for transcription of Board hearing recordings not previously transcribed, and that you did not designate, that are essential to consideration of the issues on appeal.

You, as appellant, must pay to have the designated Board hearing recordings transcribed, see 8 AAC 57.120(i), unless the Commission grants your motion to be excused from payment of the transcript cost. If the Commission grants your motion, the Commission will pay for the transcripts.

If the Commission rules that you can afford to pay the transcript cost and the time has expired for all designations for transcription to be filed, then you must 1) select a transcriptionist and make payment arrangements for preparation of the transcripts, and 2) contact the Clerk with the transcriptionist's name so the Clerk can send the designated Board hearing recordings to the transcriptionist. *See* 8 AAC 57.120(d).

D. Preparation of the Board Record. The record on appeal consists of the entire Board file, including all papers, exhibits, depositions, electronic records, electronic mail messages, records of walk-in and telephonic communications with claimants relied upon by the Board, and transcripts of Board hearing recordings previously transcribed. See 8 AAC 57.110(a). The Board's Appeals Clerk may combine all the records of your Board cases if the Board joined the cases before you filed your appeal.

<u>Transfer of the Board Record</u>. The Commission Clerk and the Board's Appeals Clerk coordinate the transfer of the record on appeal from the Board to the Commission. See AAC 57.110(b) and (c). The Board's Appeals Clerk numbers the pages of the entire Board file and transfers a CD of the PDF copy of the entire Board file to the Commission. See 8 AAC 57.110(d)(1). If you would like a copy of the numbered file for your own use, you may request a CD copy from the Commission.

E. Briefing Schedule, Brief Format and Content, and Excerpt of Record. When the appeal is ready for written briefs to be filed, the Chair will issue a notice of the briefing schedule. You must file your brief within the time limit set or your appeal may be dismissed.

If you cannot file your brief on time, you may file and serve a motion requesting an extension of time not later than the date your brief is due. See 8 AAC 57.140. You may use AWCAC Form 13, Motion for Routine Extension of Time, to request an extension of time of not more than 30 days. Oppositions to motions for routine extensions of time are not permitted.

To request an extension of time for more than 30 days, you may use AWCAC Form 14, Motion for Extension of Time. The opposing parties will have 10 days from the date in the Certificate of Service, unless mailed, of your motion to file an opposition if they wish to do so. The Commission will issue an order on your motion after the other parties have filed an opposition or the due date has passed.

Along with your brief, you must prepare an excerpt of record. See 8 AAC 57.170. An excerpt of record contains copies of those documents in the record on appeal that you think the Commission should review in order to decide your appeal.

Your opening brief raises all the issues the Commission should decide and makes all the arguments you think the Commission should consider. If an issue is not raised or adequately argued, the Commission may not decide that issue even if you listed it in your Statement of Grounds for Appeal.

1. Brief Format. A brief must:

- a. be in clear and legible black typeface or hand printing in black ink;
- b. be in 12- or 13-point font size;
- c. be double-spaced;
- d. be on white paper eight and one-half inches wide and 11 inches long;
- e. have one-inch margins all around;
- f. have footers and footnotes that are single-spaced; the footers and footnotes may be typed in a smaller font, but not smaller than 10-point;
- g. if longer than one page, have pages numbered consecutively; and
- h. include proof of service on the other parties.
- 2. <u>Brief Contents</u>. Your brief is limited to 50 pages (not including the cover sheet) and must include:
 - a. The first page must state your name, current mailing address, telephone number, email address or fax number, Commission case number, Board decision number, Board case number, and the title of the document. You may use AWCAC Form 16, Appellant's Opening Brief Cover Sheet;
 - b. A statement of the issues presented for review;
 - c. A statement or summary of the facts;
 - d. A brief description of the proceedings before the Board;
 - e. A statement of the applicable standard of review. The standards for Commission review are in AS 23.30.128(b). Please refer to other legal authority if you are asking the Commission to use a different standard of review than those in AS 23.30.128(b);
 - f. A section discussing your arguments, explaining the errors the Board made;

- g. A short conclusion stating what you would like the Commission to do to resolve your appeal; and
- h. References to documents in the record or your excerpt of record that support each fact in your brief.

Following are examples of the different sections of an appellant's opening brief. These examples are in AWCAC Form 17, Sections of an Appellant's Opening Brief, which you may use to file your brief.

Statement of Issues for Review

Here you list the important legal issues or questions that the Commission needs to decide in your appeal. You should look at your Statement of Grounds for Appeal that you filed with your Notice of Appeal, and think carefully about the questions you want the Commission to decide. Some of them you may decide you do not need to argue after all. Some may be combined into 1 issue.

Use 1 or 2 short sentences for each issue or question. Only include issues that the Commission can decide. List them in the order you discuss them in your argument, and state the issues in terms of how the Board made mistakes.

For example, "The Board made a mistake when it decided that _____." Another example could be, "Did the Board have substantial evidence when it decided ______?"

Summary of the Facts

In this section, tell what happened before the claim was filed. State only the important facts about the claim that the Commission should know to be able to decide the appeal. Put the facts in chronological order, starting from the event that led to filing a claim or petition.

Every important fact must be cited to a page in the record, excerpt of record, transcript from the Board's hearing, or a deposition. The Commissioners must be able to check whether a factual assertion is accurate. The Commission cannot receive <u>new</u> evidence to decide an appeal, so the facts must be in the Board record, in depositions filed with the Board, or testified to in hearing. A fact summary might start like this:

John Smith began work for ABC Corporation in 2005 (R. 001). He was injured on July 8, 2007 (Exc. 12). On March 10, 2009, Dr. Jones' medical report stated that Mr. Smith was injured at work (Hr'g Tr. at 22). On November 2, 2009, Dr. Thomas' medical report stated that Mr. Smith's work injury was not the cause of the need for medical treatment (R. 2904). On January 4, 2010,

Dr. Thomas stated in deposition that his opinion has not changed (Thomas Dep. at 44).

The fact citations in parentheses tell the Commissioners that on page 1 of the Board's record, a document shows appellant was an employee of ABC Corporation. On page 12 of the excerpt, a document (such as a Report of Injury) shows Mr. Smith was injured on July 8, 2007. On page 22 of the Board hearing transcript, someone testified at the Board hearing that Dr. Jones' medical report found that Mr. Smith was injured at work. Page 2904 of the Board's record is Dr. Thomas' medical report. On page 44 of Dr. Thomas's deposition transcript, he stated that his opinion has not changed.

R. means the record page number.

Exc. means the excerpt page number.

Tr. means the page number of the Board hearing transcript.

Dep. means the page number of the deposition transcript.

Summary of Board Proceedings

Summarize what happened procedurally at the Board and what the Board decided. This means you describe:

- the important documents that the parties filed;
- 2. relevant hearings and prehearing conferences; and
- 3. decisions and orders the Board made in your case.

You do not need to describe every claim, petition, and hearing that has happened in your case. Only describe the important proceedings and those relevant to the issues on appeal now. For example, if you are appealing the Board's decision on a claim for permanent partial impairment (PPI) compensation, state when the claim was filed and discuss any prehearing orders that were important in how the PPI claim was presented at the Board hearing. State what the Board decided on the PPI claim. Be sure you cite to the pages in the Board record (R. 00X) or your excerpt (Exc. 00X) where important documents can be found.

Standard of Review

The Commission's standard of review is set by statute, AS 23.30.128(b), which states:

The commission may review discretionary actions, findings of fact, and conclusions of law by the board in hearing, determining, or otherwise acting on a compensation claim or petition. The board's findings regarding the credibility of testimony of a witness before the board are binding on the commission. The board's findings of fact shall be upheld by the commission if supported by substantial evidence in light

of the whole record. In reviewing questions of law and procedure, the commission shall exercise its independent judgment.

If you want the Commission to use a different standard, you must explain why and what standard it should apply. Otherwise, if the statutory standard is appropriate, you may omit this section or just write: "The Commission's standard of review is found in AS 23.30.128(b)."

Argument

The argument is where you explain

- how the Board made a mistake in deciding your case; and
- why the mistake the Board made is so significant that the Commission should not uphold the Board's decision.

Whatever is wrong, you must show why it is so significant that it would make a difference in the outcome. Explain why the Board's mistake is so important that the error should cause the Commission to reverse the Board's decision. Use plain language to explain your arguments.

Keep in mind that if the Board decision contains a decision about credibility of a witness that appeared before the Board, the Board's decision on credibility is binding on the Commission. The Board gets to choose if it believes a witness's testimony because the Board sees and/or hears the witness, not the Commission. You may disagree, but asserting that the Board made a mistake because it believed opposing testimony by a witness that appeared before the Board is a very difficult argument.

Use headings to help the Commissioners understand what you are discussing. Set off each issue with a heading similar to a chapter title that describes the arguments that will follow. If possible, follow the order you used in the Statement of Issues section of your brief.

For every statement of law you make in the brief, try to cite to a court case, statute, regulation, or legal treatise that supports your statement.

Conclusion

Summarize what your position is very briefly. Then, tell the Commission what you want it to do. For example, you may ask the Commission to REVERSE the Board's decision, to VACATE (invalidate) the decision and REMAND (send the case back) to the Board to allow more evidence or rehear the case, or MODIFY the Board's decision. Do not ask the Commission to do what the Commission does not have the power to do, such as award you benefits or order the employer to rehire you. Sign and date the brief, and, if you had an assistant to help you, verify that you read and understood the brief, and that it is your brief.

2. Citation Guidelines.

- a. In your brief, you must provide <u>citations</u> to the <u>record</u>, <u>excerpt of record</u>, <u>hearing transcript</u>, <u>or deposition transcript</u> for every factual assertion you make in your brief.
 - 1. If citing to a document in the Board record, cite it in the brief with R. (for record) and the page number. Example: R. 348.
 - 2. If citing to a document in your excerpt of record, cite it in the brief with Exc. (for excerpt) and the page number. Example: Exc. 49.
 - 3. If citing to a hearing transcript, cite it in the brief with Tr. (for transcript) and the page number. Example: Tr. at 27.
 - 4. If citing to a deposition transcript, cite it in the brief with the deponent's last name, Dep. (for deposition), and the page number. Example: Smith Dep. at 74.
- b. Citations to legal authority can appear in the body of your brief or in footnotes. The Commission does not expect self-represented parties to be able to use "The Bluebook A Uniform System of Citation" which is the standard method of citation in legal writing. However, you must identify the legal authority you are referring to in your brief so that the Commission can locate and review that legal authority in the process of deciding the appeal.

For Alaska Supreme Court cases, use the name of the case in italics (or underlined), volume number, abbreviation for the reporter series, the page the case begins on, the page where the quote is located, and in parentheses, Alaska and year. A cite to an Alaska Supreme Court case could look like this:

The citation would be written out as: Temple v. Denali Princess Lodge, 21 P.3d 813, 815 (Alaska 2001).

Board and Commission cases are cited by name, decision number, page quoted, and decision date:

Board:

Abdul Adepoju v. Fred Meyer Stores, Inc., Alaska Workers'

Comp. Bd. Dec. No. 05-0177 at 2 (Jul. 5, 2005).

Commission: Adepoju v. Fred Meyer Stores, Inc., Alaska Workers' Comp.

App. Comm'n Dec. No. 010 at 3 (May 11, 2006).

After you cite a case once in long form, you may use a short form, such as:

Temple, 21 P.3d at 815.

Abdul Adepoju, Bd. Dec. No. 05-0177 at 2.

Adepoju, App. Comm'n Dec. No. 010 at 3.

Cases may be found online at:

Alaska Supreme Court cases: http://government.westlaw.com/akcases.

Commission decisions: http://www.labor.alaska.gov/wccomm/orders.htm.

Board decisions: http://www.labor.alaska.gov/wc/legaldir.htm; however, you may need to call the Board for help navigating to a specific decision.

Alaska Statutes (AS) are followed by the number of the title, the chapter, and the section, separated by periods, such as AS 23.30.120. Paragraphs and subparagraphs are separated by parentheses, such as AS 23.30.041(n)(1).

The Alaska Administrative Code (AAC) is preceded by the number of the title and followed by the chapter and section, separated by a period, such as 8 AAC 57.090. Paragraphs and subparagraphs are separated by parentheses, such as 8 AAC 45.074(b)(1).

http://www.legis.state.ak.us/basis/folio.asp has links to statutes and regulations.

Commission regulations are at http://www.labor.alaska.gov/wccomm; select Appeal Procedures.

- 3. Excerpt of Record. You must prepare an excerpt of record and include copies of the following; see content requirements at 8 AAC 57.180:
 - The claim or petition that started the case;
 - The decisions or orders you are appealing;
 - c. If you are challenging the admission or exclusion of evidence or an oral ruling or order, a copy of the transcript pages showing the Board's discussion and ruling on the evidence and objections; and,

d. True and correct copies of documents in the Board record that you refer to in your brief to support your arguments.

Do not include copies of statutes, regulations, or cases. The Commission will locate and review the statutes, regulations, or cases that you refer to in your brief.

Remember, you cannot introduce new evidence in an appeal. Do not include anything in your excerpt of record that was not in the Board record at the time the Board proceeding was concluded.

- 4. <u>Preparing an Excerpt of Record</u>. To prepare your excerpt of record:
 - a. Prepare AWCAC Form 21, Excerpt of Record Cover Sheet.
 - b. Put Exc. and page numbers at the bottom of each page, starting with "Exc. 1" and number them in a single series to the end of your excerpt of record.
 - c. Prepare AWCAC Form 22, Excerpt of Record Index, and list the title of each document, the date it was signed or made, and the page number of the excerpt of record on which it begins. Do not number the pages of the index. Put the index on top of the other documents.
 - d. Put your Excerpt of Record Cover Sheet on top of your Excerpt of Record Index and file all these documents together as 1 document, separate from your brief.
 - e. You must file the original and 3 copies of your brief, and the original and 3 double-sided copies of your excerpt. 8 AAC 57.130(g).
- 5. <u>Service on Other Parties</u>. You must serve a copy of both your brief and your excerpt of record on the other parties the same day you file them. Show proof of service by completing the Certificate of Service at the bottom of AWCAC Forms 16 and 21.
- 6. Reply Brief. You may file and serve a reply brief, or a notice that you will not be filing a reply brief, not later than 20 days after the date in the Certificate of Service, unless served by mail, of appellee's brief. See 8 AAC 57.130(d). A reply brief is limited to 20 pages and must include references to documents in your excerpt of record that support each fact in the reply brief. No new arguments can be raised in a reply brief, but you may respond to arguments raised by appellee that were not addressed in your first brief. An appellee files only 1 brief.
- F. Oral Argument. Not later than 10 days after the date on which the reply brief is filed or due to be filed, or not later than 10 days after the notice that a reply brief will not be filed, any party may file a written request for oral argument. See 8 AAC 57.200(b). You may file and serve AWCAC Form 23, Request for Oral Argument; however, you do not need to request oral argument if appellee has already done so. If the request is timely filed, the Clerk will contact the Commission panel members and the parties to coordinate a date and time for oral argument.

At oral argument, each party presents their arguments and <u>no new arguments</u> are permitted. Oral argument is not a new Board hearing and no witnesses are called. Thirty minutes total is usually allowed for an appellant's opening and rebuttal arguments. Any time spent answering questions from the Commissioners will not be included in your time. The purpose of oral argument is not to read your brief to the Commissioners; it is better to point out what mistakes you believe the Board made in its decision and why it was mistaken.

If you cannot attend oral argument in person, you may request to participate by telephone or Zoom. Instructions for participation by telephone or Zoom will be included in the oral argument notice.

If you do not timely request oral argument, you must file and serve a motion for an extension of time explaining why your request for oral argument was late. *See* 8 AAC 57.140.

V. DECISION

The Commission will decide the appeal based on the record, briefs and excerpts filed, and oral argument (if held). By statute, the Commission has 90 days to issue its decision. See AS 23.30.128(e). The Commission may:

- AFFIRM (approve) the Board's decision,
- **REMAND** (send the case back for additional action by the Board),
- MODIFY (change) the Board decision in some way,
- REVERSE the Board's decision, or
- DISMISS the appeal.

The Clerk will send copies of the decision to the parties, the Board's Appeals Clerk, and the Director of the Division of Workers' Compensation.

When you receive the Commission's decision, read the reconsideration and appeal procedures carefully for information about how to request the Commission to reconsider its decision, or how to appeal the decision to the Alaska Supreme Court. You may request reconsideration by the Commission or file an appeal to the Alaska Supreme Court not later than 30 days after the date of distribution of the decision. *See* AS 23.30.128(f) and 8 AAC 57.230.

If you wish to request the Commission reconsider its decision, you may file and serve AWCAC Form 27, Motion for Reconsideration.

The Commission may also dismiss an appeal for failure to prosecute or failure to follow an order of the Chair or Commission. *See* 8 AAC 57.250. If the Commission sends you a Notice of Default, <u>read it carefully and act promptly</u> to avoid dismissal of your appeal.

VI. AWARD OF COSTS

If you win the appeal, you may file a motion for an award of costs not later than 10 days after the date of distribution of the decision. See AS 23.30.008(d) and 8 AAC 57.260. The costs you may request are:

- 1. Reimbursement of the filing fee;
- 2. The cost of preparing transcripts of Board hearings; and
- 3. The cost of copying and mailing motions, briefs, and excerpts.

You must serve copies of your motion for costs on the other parties, who will have 10 days to file an opposition. The Commission will then decide what costs to award and send all parties a copy of the order.

VII. APPELLANT FORMS

Title	Number	Purpose
		File with Form 07, Motion to be Excused from
Financial Statement Affidavit	01	Payment of Filing Fee and/or Transcript Cost
Self-Represented Appellant's Motion for		
Extension of Time to File Notice of Appeal	02	Request more time to file a notice of appeal
Self-Represented Appellant's Notice of Appeal		
and Statement of Grounds for Appeal	03	Begin an appeal
Self-Represented Appellant's Motion to Amend		
Notice of Appeal and Statement of Grounds for		
Appeal	04	Add or change the grounds for the appeal
Self-Represented Appellant's Amended Notice of		
Appeal and Amended Statement of Grounds	05	Add or change the grounds for the appeal
Self-Represented Appellant's List of Parties to		List names, addresses, telephone numbers,
Appeal	06	and fax numbers of all parties and attorneys
Self-Represented Appellant's Motion to be		
Excused from Payment of Filing Fee and/or		Request to be excused from payment of the
Transcript Cost	07	filing fee and/or transcript cost
Self-Represented Litigant's Consent to Service		Give consent to service of documents by fax or
by Fax and/or Email	09	email
Self-Represented Litigant's Designation of		
Hearing Recordings for Transcription	11	List Board hearing recordings to be transcribed
		Request the Chair or Commission to do a
Self-Represented Litigant's Motion/Request	12	certain thing
Self-Represented Litigant's Motion for Routine		Request one automatically granted 30-day
Extension of Time	13	extension of time
Self-Represented Litigant's Motion for Extension		Request an extension of time of more than 30
of Time	14	days
Self-Represented Litigant's Opposition to Motion	15	Oppose another litigant's motion
Self-Represented Appellant's Opening Brief		
Cover Sheet	16	File appellant's brief
Sections of an Appellant's Opening Brief	17	Examples for an appellant's opening brief
Self-Represented Appellant's Reply Brief	20	File appellant's reply brief
Self-Represented Litigant's Excerpt of Record		
Cover Sheet	21	File an excerpt of record
Self-Represented Litigant's Excerpt of Record		
Index	22	List documents in an excerpt of record
Self-Represented Litigant's Request for Oral		
Argument	23	Ask for oral argument before the Commission
		Give reasons for not following an order of the
Self-Represented Litigant's Show of Good Cause	24	Commission or Chair
Self-Represented Litigant's Change of Contact		Inform the Commission and other parties of
Information	25	new contact information
		Proof of service of documents on the other
Self-Represented Litigant's Certificate of Service	26	parties
Self-Represented Litigant's Motion for		
Reconsideration	27	Ask the Commission to reconsider its decision
	L	

Appellant, (p	arty filing appeal)			
VS.				
		AWC	CAC Appea	al No
		AWC		on No
Appellee(s).	(all other parties to appea	a/) AWC	CB Case N	0
	FINANCIA	AL STATEMENT	AFFIDA\	/IT
(<i>To be file</i>		······································		costs under 8 AAC 57.090)
	nmission may seek verific to obtain the information			ovide. Other government agencies more pages if needed.)
	I. Pl	ERSONAL INFORM	ATION	
1. Last Name	First Name	Middle Initial	(not mai	2. Social Security Number ndatory; may be used to identify assets)
***************************************		3. Residence Address	5	
	4.	Mailing Address (<i>if diff</i> e	erent)	· · · · · · · · · · · · · · · · · · ·
5. Telephon	ie	6. Fax		7. Email
8a. Marital Status:	☐ Married ☐ Single ☐ I	Divorced \square Separate	d □ Widov	ved 8b. How Long?
	now? ☐ Yes ☐ No	9b. If not, da		
		PLOYERS FOR THE		
		Dunnah au Faura y Far		
	<u>13.</u>	Present or Former Em	<u>pioyer</u>	
	1b. Address & Telep	phone Number of Prese	nt or Forme	r Employer
	1c. Job Title	10	i. Salary	1e. Salary Per Hour/Week/Month
From:	To:			
1f. Dates o	of Employment (month & yea	er)	1g.	Number of Hours Per Week
	20	Dropout or Formor For	nla vau	
		Present or Former Em		
	2b. Address & Tele	phone Number of Prese	nt or Forme	r Employer
	2c. Job Title	20	I. Salary	2e. Salary Per Hour/Week/Month
From:	To:			
2f. Dates o	of Employment (month & yea	r)	2g.	Number of Hours Per Week

	1.Spouse's Name	**************************************	2.Spouse's Present of	or Past Employer
Fron	n: To: 3.Spouse's Dates of Employment	4.Spouse	s Salary 5	.Number of Hours Per Week
		IV. DEPENDENTS	-	
	Name / Age / Relationship		Name / Ag	e / Relationship
1.		6		
4.				
5.				
		V. MONTHLY EXPENSE		
	A. Expense	B. Your Share of Monthly Payment	C. Balance Owed	D. Amount Past Due
1.	Housing: Rent/Mortgage		and the state of t	
2.	Utilities: Gas/Electric/Water/Garbage			
3.	Telephone	***************************************		
4.	Food		***************************************	
5.	Transportation: Gas/Bus	**************************************		
6.	Car Payment			
7.	Insurance	400000000000000000000000000000000000000	b	
8.	Child/Spousal Support			
9.	Loans/Credit Cards (List):			
	a	***************************************		
	b			
	C	WARRING TO THE TOTAL THE TOTAL TO THE TOTAL THE TOTAL TO		
	d			
	e			
10.	Medical (not covered by insurance)			***************************************
11.	Child Care			
12.	IRS Back Taxes	***************************************		·
13.	Debts (List):			
	a	MATERIAL PROPERTY AND		
	b		**************************************	
	C			
•	d		***************************************	
	e			
14.	TOTALS:			

	VI.	INCOME INFO	RMATION	
1.	Number of Permanent Fund Dividend check	ks received by you	r immediate family within the	past year:
2.	Your total net income (after taxes, but before	ore other deduction	ns) in the past 12 months:	
3.	Your spouse's total net income (after taxes	s, but before other	deductions) in the past 12 m	onths:
4.	Any money you expect to receive in the ne	xt 6 months (e.g.	settlements, annuities):	***************************************
5.	Are you a seasonal employee? \(\square\) No \(\square\)] Yes If y	es, specify:	
6.	Your total NET monthly income from:	7. You	ır spouse's total NET monthly	income from:
	a. Wages:	a.	Wages:	
	b. Public Assistance:	b.	Public Assistance:	
	c. Unemployment:	C.	Unemployment:	
	d. Other:	d.	Other:	
Expl	ain Other:	Explain O	Other:	
	VII. FAMIL	Y ASSETS (things	s you own or are buying)	
	A. Family Assets	B. Value	C. Balance Owed	D. Commission Use ONLY
1.	Cash			
2.	Bank Account – Checking			
3.	Bank Account – Savings			
4.	Securities			
5.	Pension Plans/Annuities			
6.	Life Insurance (cash value/dividends)			
7.	Land, Homes, Trailers			
8.	Home Furnishings	yan		
9.	TV, Stereo, VCR/DVD, Computer			
10.	Vehicles			
11.	Snow Machines, Boats, ATVs, Motorcycles, Airplanes			
12.	Jewelry, Precious Metals/Stones		***************************************	**************************************
13.	Furs			
14.	Collections (coins, ivory, etc.)			**************************************
15.	Tools and Guns			
16.	Sports Equipment			
17.	Fishing Gear			***************************************
18.	Limited Entry Permit(s)			
19.	Businesses			
20.	Other:			
21.	TOTALS:	***************************************		
22.	Specify any of the above you need to earn your living and explain why:			

VIII. OATH OR AFFIRMATION

-	NOT SIGN THIS AFFIDAVIT UNTIL YOUR SIGNAT	
NO.	TICE: A false statement is punishable under Alas	ska law.
I, _	(appellant's printed name)	, declare under oath, or I affirm,
	(<i>appellant's printed name</i>) at my Financial Statement is true and co	mnlete.
***********	(date)	(signature of appellant OR parent of appellant under 18)
Sub	scribed and sworn to, or affirmed, before me on	, 20, in, Alaska.
	(SEAL)	Notary Public
		My Commission Expires:
	IX. FINANCIAL SUMMARY	Y (for Commission use ONLY)
1.	Total family income for the past 12 months:	
2.	Total assets (equity):	
3.	Total assets (cash):	
4.	Total debts:	
5.	Total family income each month:	
6.	Total family expenses each month:	
7.	Amount behind:	
8.	Total discretionary income each month:	
9.	I recommend that this request be: Denied	Approved
10.	Reasons:	
	Signature of Commission Chair	Date
_		TE OF SERVICE
I cer deliv	rtify that on (date) this Financial State vered to the Alaska Workers' Compensation Appeals	ement Affidavit was \(\) mailed, \(\) faxed, \(\) emailed, or \(\) hand Commission, \(\) and on the same date a complete copy of this
docu	ument was	nd delivered to the parties checked at the addresses listed below.
Auc	acti more pages il needed.)	Opposing party or party's attorney (if represented):
		<u></u>
	Print name of person who served document	Signature of person who served document

Appellant, (party filing ap	ppeal)				
VS.					
		AWCA	C Appeal No		
Annalla (a) () ()		_ AWCB	Decision No		
Appellee(s). (all other pa	arties to appeal)	_ AWCB	Case No		
SELF-REPRESENTED		TION FOR OF APPEA		ON OF TIME TO FILE	대 대 보는
Ι,	, am the	e Appellant.	I request th	e Commission grant me	an
extension of time of	days to file my Notice	e of Appeal.	I was late ir	filing my Notice of App	oeal
for the following reasons:					
_					
Market 1 Add and the Add and t		***************************************			
			•		
			(Att:	ach more pages if neede	
Thou	novoon filing this de	ouront BA	•		u. <i>)</i>
	person filing this do	Cument M	<u>us i sigii be</u>	<u>IOW</u> .	
This form is being filed not lat the date of the Alaska Workers' (Signature	Date	
decision.			Signature	Date	
This form is being filed the Alaska Workers' Compensati			Mailing	Address	
My Notice of Appeal and Stater			City C	tate, Zip	
Appeal are attached.			City, 3	tate, zip	
		Telephone N	lumber	Fax Number and/or E-mail	
	ADISTRISED)	vsjele slogn	T(G)E		
I certify that on	(date) this Motio	n for Extension	n of Time to File	Notice of Appeal was ma	
faxed, emailed, or har date a complete copy of this doc					
at the addresses listed below. (A	ttach more pages if neede	ed.)			
Required: Director, Alaska Workers'	If opposing party a State agency:	<u>'is</u> Opp	oosing party <u>or</u> p	party's attorney (if represente	d):
Compensation Division	Attorney General's Offi	ice			
P.O. Box 115512 Juneau, AK 99811	P.O. Box 110300 Juneau, AK 99811-030	00			·
,					
Print name of person who se	erved document	***************************************	Signature of ne	rson who served document	<u> </u>
or person who se			g 0. pc.	WYWINGTO	

Appellant, (party filing appeal)	_	
VS.		
	AWCAC Appeal No.	
Appellee(s). (all other parties to appeal)	AWCB Decision No AWCB Case No	
SELF-REPRESENTED APPE	LLANT'S NOTICE OF APPEAL	
Please take notice that I,		
Decision No, issue		
Workers' Compensation Board in AWCB Case	e No	A copy of the
Board decision that I am appealing is attached	d to this notice.	
STATEMENT OF GR	OUNDS FOR APPEAL	
The grounds (reasons) for this appeal are:		
The person filing this do This form is being filed not later than 30 days after the date of the Alaska Workers' Compensation Board's decision.	(Attach more pa cument MUST sign below.	ges if needed.)
☐ This form is being filed days after the date of	Melling Addings	
the Alaska Workers' Compensation Board's decision. A Motion for Extension of Time to File Notice of Appeal is	Mailing Address	
attached.	City, State, Zip	
I certify that on (date) this Notice of a mailed, or hand delivered to the Alaska Workers' complete copy of this document was mailed, faxed, addresses listed below. (Attach more pages if needed.)	FIE OF SERVICE Appeal and Statement of Grounds were Compensation Appeals Commission, and	on the same date a
Required: Director, Alaska Workers' Compensation Division P.O. Box 115512 Juneau, AK 99811 If opposing party a State agency: Attorney General's Office P.O. Box 110300 Juneau, AK 99811-030	ce	ney (if represented):
Print name of person who served document	Signature of person who serv	red document

Appella	int, (<i>party filing appeal</i>)	
VS.		
		AWCAC Anneal No
		AWCAC Appeal NoAWCB Decision No
Appelle	ee(s). (all other parties to appeal)	AWCB Case No.
SELF-REF	*.	OTION TO AMEND NOTICE OF APPEAL AND ROUNDS FOR APPEAL
Ι,	, a	am the Appellant. I request the Commission allow
me to ame	end my Notice of Appeal and	Statement of Grounds for Appeal as follows:
for these rea	asons:	
West of the second of the seco	The person filing this o	(Attach more pages if needed.) locument MUST sign below.
	Signatur	e Date
	Mail	ling Address
	City	, State, Zip
	Telephone Number	Fax Number and/or E-mail
and on the sar	on (date) this Motion to I, \square faxed, \square emailed, or \square hand deliver	TE OF SERVICE Amend Notice of Appeal and Statement of Grounds for Appeal red to the Alaska Workers' Compensation Appeals Commission, was mailed, faxed, emailed, or hand delivered to hand pages if needed.)
		☐ Opposing party or party's attorney (if represented):
		-
Print na	ame of person who served document	Signature of person who served document

Appellant, (pa	arty filing appeal)	
VS.		
		AWCAC Appeal No
Annellee(s)	(all other parties to appeal)	AWCB Decision No.
	an other parties to appears	AWCB Case No.
SELF-R	REPRESENTED APPELLAN	IT'S AMENDED NOTICE OF APPEAL
		, appeal
		on, by the Alaska Workers'
		A copy of the Board decision
	ng is attached to this notice.	
mar i am appeam	-	
		OF GROUNDS FOR APPEAL
The grounds (reaso	ons) for this appear are:	
		MINING MANAGEMENT AND
×		
		(Attach more pages if needed.)
	The person filing this do	ocument MUST sign below.
	Signature	Date
	Mailin	so Address
	Mailir	ng Address
		State, Zip
_		
_	City,	State, Zip
	City, Telephone Number CERTIFICA	State, Zip Fax Number and/or E-mail TE OF SERVICE
I certify that on	City, Telephone Number CERTIFICA (date) this Amended	State, Zip Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were
☐ mailed, ☐ faxed, the same date a comp	City, Telephone Number CERTIFICA (date) this Amended mailed, or mailed hand delivered to oblete copy of this document was market	Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on nailed, faxed, emailed, or hand delivered to the parties
mailed, faxed, the same date a comp checked at the addres	City, Telephone Number CERTIFICA (date) this Amended emailed, or hand delivered to oblete copy of this document was maked in the copy of the copy. (Attach more pages)	Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on nailed, faxed, emailed, or hand delivered to the parties if needed.)
mailed, faxed, the same date a component checked at the addres Required:	City, Telephone Number CERTIFICA (date) this Amended mailed, or in hand delivered to oblete copy of this document was in makes isseed below. (Attach more pages in its pages is in its pages in its pages is in its pages in i	Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on nailed, faxed, emailed, or hand delivered to the parties if needed.)
mailed, faxed, the same date a component checked at the addrest Required: Director, Alaska W	City, Telephone Number CERTIFICA (date) this Amended to blete copy of this document was maked in the copy of the copy of the copy of this document was maked in the copy of th	Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on nailed, faxed, emailed, or hand delivered to the parties if needed.) Jis Opposing party or party's attorney (if represented):
mailed, faxed, the same date a compensation of the compensation of	City, Telephone Number CERTIFICA (date) this Amended hand delivered to oblete copy of this document was makes listed below. (Attach more pages orkers' a State agency: Attorney General's Offi P.O. Box 110300	Fax Number and/or E-mail ATE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on mailed, faxed, emailed, or hand delivered to the parties if needed.) y is Opposing party or party's attorney (if represented): fice
mailed, faxed, the same date a compensation for the checked at the address Required: Director, Alaska W Compensation Director	City, Telephone Number CERTIFICA (date) this Amended hand delivered to oblete copy of this document was I make a listed below. (Attach more pages orkers' Telephone Number (date) this Amended hand delivered to oblete copy of this document was I make a listed below. (Attach more pages orkers' Telephone Number (date) this Amended The phone Number The phone Number (date) this Amended The phone Number The phon	Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on nailed, faxed, emailed, or hand delivered to the parties if needed.) y is Opposing party or party's attorney (if represented): fice
mailed, faxed, the same date a compensation Director, Alaska W Compensation Director, Alaska W Alaska	City, Telephone Number CERTIFICA (date) this Amended hand delivered to oblete copy of this document was makes listed below. (Attach more pages orkers' a State agency: Attorney General's Offi P.O. Box 110300	Fax Number and/or E-mail TE OF SERVICE d Notice of Appeal and Amended Statement of Grounds were the Alaska Workers' Compensation Appeals Commission, and on nailed,

Appellant, (party filing appeal)	
vs.	
	AWCAC Appeal No
Appellee(s). (all other parties to appe	peal) AWCB Decision No
	AWCB Case No.
SELF-REPRESENTED APP	PELLANT'S LIST OF PARTIES TO APPEAL
I,, am	the Appellant. The parties and attorneys to this appeal are
Appellant	
Name:	
Address:	
Telephone No.:	
Facsimile No.:	
Email: Appellees	Appellees' Attorney
Name:	Name:
Address:	Address:
Telephone No.:	Telephone No.:
Facsimile No.:	Facsimile No.:
Email:	Email:
	(Attach more pages if needed
The person filing	g this document MUST sign below.
	Signature Date
	Mailing Address
	Figure Actions
***************************************	City, State, Zip
Talankana Nu	T. Norther and J. Frank
Telephone Nu	
	TIFICATE OF SERVICE Parties to Appeal was mailed, faxed, emailed, or hand delivered to
the Alaska Workers' Compensation Appeals Commission	ion, <u>and</u> on the same date a complete copy of this document was <u>mailed</u> , rties checked at the addresses listed below. (Attach more pages if needed.)
Taxeu, emailed, or name delivered to the part	Opposing party or party's attorney (if represented):
l	
Print name of person who served document	t Signature of person who served document

Appellant, (party filing appeal)	
VS.	
	AWCAC Appeal No
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No
	OTION TO BE EXCUSED FROM PAYMENT OF OR TRANSCRIPT COST
	e Appellant. I request the Commission excuse me
from having to pay the [] filing fee	Montes
I am filing AWCAC Form 01, Financial S	
The person filing this o	document MUST sign below.
Signatur	e Date
Mai	ling Address
City	y, State, Zip
Telephone Number	Fax Number and/or E-mail
I certify that on (date) this Motion to was _ mailed, _ faxed, _ emailed, or _ hand deliver	the of service be Excused from Payment of Filing Fee and/or Transcript Cost red to the Alaska Workers' Compensation Appeals Commission, was ☐ mailed, ☐ faxed, ☐ emailed, or ☐ hand delivered to h more pages if needed.)
	Opposing party or party's attorney (if represented):

Appellant, (party filing appeal)	
VS.	
	AWCAC Appeal No
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No.
SELF-REPRESENTED LITIGANT'S COI	NSENT TO SERVICE BY FAX AND/OR EMAIL
I,, am	n the 🗌 Appellant 🔝 Appellee. I hereby consent
to service of all documents in this appeal b	by fax to and/or
	,
The person filing this	document MUST sign below.
Signatu	ure Date
Ma	ailing Address
Ci	ity, State, Zip
Telephone Number	Fax Number and/or E-mail
	ATE OF SERVICE
│ □ emailed, or □ hand delivered to the Alaska Workers	ent to Service by Fax and/or Email was \square mailed, \square faxed, rs' Compensation Appeals Commission, and on the same date a d, \square emailed, or \square hand delivered to the parties checked at the
	Opposing party <u>or</u> party's attorney (if represented):
Print name of person who served document	Signature of person who served document

Appellant, (party filing appeal)		
VS.		
Appellee(s). (all other parties to appeal)	AWCAC Appeal No	
Appence(3): (all other parties to appeal)	AWCB Case No.	
	IGNATION OF HEARING RECORDINGS SCRIPTION	
	ne Appellant Appellee. I select for	
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	pensation Board hearing recordings in AWCB Case	
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	(list hearing dates).	
The person filing this document MUST sign below.		
Signature	Date	
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Telephone Number	Fax Number and/or E-mail	
CERTIFICAT	F OF SERVICE	
I certify that on (date) this Designation of Hearing Recordings for Transcription was mailed, faxed, emailed, or hand delivered to the Alaska Workers' Compensation Appeals Commission, <u>and</u> on the same date a complete copy of this document was mailed, faxed, emailed, or hand delivered to the parties checked at the addresses listed below. (Attach more pages if needed.)		
	Opposing party <u>or</u> party's attorney (if represented):	
	Signature of person who served document	

Appellant, (party filing appeal)			
VS.			
	AWCAC A	ppeal No	
Appellee(s). (all other parties to appeal)	AWCB Ca	cision No.	
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SELF-REPRESENTED LI			T was wash blass
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for these reasons:			***************************************
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The person filing this	document MUST	sign below.	
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Appellant, (party filing appeal)	
VS.	
	AWCR Decision No.
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No
SELF-REPRESENTED LITIGANT'S MOTI	ON FOR ROUTINE EXTENSION OF TIME
I,, am	the Appellant Appellee. I request a
day (up to 30 days) routine extension	n of time to file my
for these reasons:	
The person filing this doc	cument MUST sign below.
Signature	Date
Mailing	Address
City St	tate, Zip
	·
Telephone Number	Fax Number and/or E-mail
CERTIFICATE OF SERVICE I certify that on (date) this Motion for Routine Extension of Time was ☐ mailed, ☐ faxed, ☐ emailed, or ☐ hand delivered to the Alaska Workers' Compensation Appeals Commission, and on the same date a complete copy of this document was ☐ mailed, ☐ faxed, ☐ emailed, or ☐ hand delivered to the parties checked at the addresses listed below. (Attach more pages if needed.)	
	Opposing party <u>or</u> party's attorney (if represented):
Print name of person who served document	Signature of person who served document

AWCAC Form 13, Self-Represented Litigant's Motion for Routine Extension of Time

Appellant, (party filing appeal)	
VS.	
	AWCR Decision No.
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No
SELF-REPRESENTED LITIGANT'S I	
	ppellant Appellee. I request the Commission
give me additional days to file my on, because	, which is due
On, I emailed/spoke with who is/represents the opposing party, who told	me there is no objection to this request.
OR	
On, I emailed/telephoned who is/represents the opposing party, but w objected to my request because:	as unable to determine if the opposing party

I have received $___$ days of prior extensions.	
The person filing this doc	<u>cument MUST sign below</u> .
Signature	Date
Mailing	Address
City, St	ate, Zip
Telephone Number	Fax Number and/or E-mail
CERTIFICA	TE OF SERVICE
hand delivered to the Alaska Workers' Compensation	r Extension of Time was \square mailed, \square faxed, \square emailed, or Appeals Commission, <u>and</u> on the same date a complete copy or \square hand delivered to the parties checked at the addresses
	Opposing party <u>or</u> party's attorney (if represented):
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Print name of person who served document	Signature of person who served document

Appellant, (party filing appeal)	
VS.	
v 3.	
	AWCAC Appeal No
	AWCB Decision No
Appellee(s). (all other parties to appeal)	AWCB Case No
SELF-REPRESENTED LITIGA	NT'S OPPOSITION TO MOTION
I am the Appellant Appellee. I oppo	se the motion filed by Appellant Appelle
asking the Commission to:	
T do not agree the Commission should do this	hacausa
1 do not agree the Commission should do this	because:
	(Attach mara pages if peoded)
	(Attach more pages if needed).
The person filing this do	ocument MUST sign below.
Signature	Date
Ma:ii-	
машг	ng Address
City,	State, Zip
Telephone Number	Fax Number and/or E-mail
	TE OF SERVICE
	sition was \square mailed, \square faxed, \square emailed, or \square hand s Commission, and on the same date a complete copy of
this document was \square mailed, \square faxed, \square emaile	ed, or \square hand delivered to the parties checked at the
addresses listed below. (Attach more pages if needed.)	
	Opposing party <u>or</u> party's attorney (if represented):
Print name of person who conved decument	Signature of person who served document

Appell	ant, (<i>party filing appeal</i>)	
VS.		
		AWCAC Appeal No
Annoll	OO(s) (all other parties to appeal	AWCB Cook No.
	ee(s). (all other parties to appeal)	AWCB Case No.
SEL	F-REPRESENTED APPELLANT	'S OPENING BRIEF COVER SHEET
I,	, am i	the Appellant. This is my opening brief which
		ed for review, a statement of the facts, a brie
•	•	ard, a statement of the applicable standard o
•		and a conclusion stating what I would like the
		and a conclusion stating what I would like the
Commission	to do to resolve my appeal.	
	The person filing this do	<u>cument MUST sign below</u> .
	Signature	Date
	3.3	2410
	Mailing	Address
	City, S	tate, Zip
	Telephone Number	Fax Number and/or E-mail
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I certify that		s Opening Brief was \square mailed, \square faxed, \square emailed, or
copy of this	document was 🗌 mailed, 🗌 faxed, 🗌 em	Appeals Commission, <u>and</u> on the same date a complete ailed, or \square hand delivered to the parties checked at the
addresses list	ted below. (Attach more pages if needed.)	Opposing party <u>or</u> party's attorney (if represented):
		Opposing party <u>or</u> party's attorney (ii represented):
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Print n	name of person who served document	Signature of person who served document

Sections of an Appellant's Opening Brief

These pages are provided as examples of the sections of an appellant's opening brief. You may use these pages for your brief, adding more pages if needed, or you may use them as a model to write your own brief. The sections of an appellant's opening brief are:

Statement of Issues for Review

Here you list the important legal issues or questions that the Commission needs to decide in your appeal. As the appellant, you should look at your Statement of Grounds for Appeal that you filed with your Notice of Appeal, and think carefully about the questions you want the Commission to decide. Some of them you may decide you do not need to argue after all. Some may be combined into one issue.

Use one or two short sentences for each issue or question. Only include issues that the Commission can decide. List them in the order you discuss them in your argument, and state the issues in terms of how the Board made mistakes.

For e	exam	ple, "T	he Bo	oard r	nade	a mista	ake wh	en it decide	d that		"	Another
exan	nple	could ?"	be,	"Did	the	Board	have	substantial	evidence	when	it	decided
Thes	se are	e the q	uestic	ons th	at the	e Comm	ission	needs to dec	cide in this	appeal.		
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Attach more pages if needed.

Summary of the Facts

In this section, tell what happened before the claim was filed. State only the important facts about the claim that the Commission should know to be able to decide the appeal. Put the facts in chronological order, starting from the event that led to filing a claim or petition.

Every important fact must be cited to a page in the record, excerpt of record, transcript from the Board's hearing, or a deposition. The Commissioners must be able to check whether a factual assertion is accurate. The Commission cannot receive <u>new</u> evidence to decide an appeal, so the facts must be in the Board record, in depositions filed with the Board, or testified to in hearing. A fact summary might start like this:

John Smith began work for ABC Corporation in 2005 (R. 001). He was injured on July 8, 2007 (Exc. 12). On March 10, 2009, Dr. Jones' medical report stated that Mr. Smith was injured at work (Hr'g Tr. at 22). On November 2, 2009, Dr. Thomas' medical report stated that Mr. Smith's work injury was not the cause of the need for medical treatment (R. 2904). On January 4, 2010, Dr. Thomas stated in deposition that his opinion has not changed (Thomas Dep. at 44).

The fact citations in parentheses tell the Commissioners that on page 1 of the Board's record, a document shows appellant was an employee of ABC Corporation. On page 12 of the excerpt, a document (such as a Report of Injury) shows Mr. Smith was injured on July 8, 2007. On page 22 of the Board hearing transcript, someone testified at the Board hearing that Dr. Jones' medical report found that Mr. Smith was injured at work. Page 2904 of the Board's record is Dr. Thomas' medical report. On page 44 of Dr. Thomas's deposition transcript, he stated that his opinion has not changed.

R. Exc. Tr. Dep.	means the record page number. means the excerpt page number. means the page number of the Board hearing transcript. means the page number of the deposition transcript.

· · · · · · · · · · · · · · · · · · ·
(Attach more pages if peeded)

Summary of Board Proceedings

Summarize what happened procedurally at the Board and what the Board decided. This means you describe:

- 1. the important documents that the parties filed;
- 2. relevant hearings and prehearing conferences; and
- 3. decisions and orders the Board made in your case.

You do not need to describe every claim, petition, and hearing that has happened in your case. Only describe the important proceedings and those relevant to the issues on appeal now. For example, if you are appealing the Board's decision on a claim for permanent partial impairment (PPI) compensation, state when the claim was filed and discuss any prehearing orders that were important in how the PPI claim was presented at the Board hearing. State what the Board decided on the PPI claim. Be sure you cite to the pages in the Board record (R. 00X) or your excerpt (Exc. 00X) where important documents can be found.

1414
(Attach more pages if peoded)

Standard of Review

The Commission's standard of review is set by statute, AS 23.30.128(b), which states:

The commission may review discretionary actions, findings of fact, and conclusions of law by the board in hearing, determining, or otherwise acting on a compensation claim or petition. The board's findings regarding the credibility of testimony of a witness before the board are binding on the commission. The board's findings of fact shall be upheld by the commission if supported by substantial evidence in light of the whole record. In reviewing questions of law and procedure, the commission shall exercise its independent judgment.

If you want the Commission to use a different standard, you must explain why and what standard it should apply. Otherwise, if the statutory standard is appropriate, you mat omit this section or just write: "The Commission's standard of review is found it AS 23.30.128(b)."
·
(Attach more pages if needed.)

<u>Argument</u>

The argument is where you explain

- 1. how the Board made a mistake in deciding your case; and
- 2. why the mistake the Board made is so significant that the Commission should not uphold the Board's decision.

Whatever is wrong, you must show <u>why</u> it is so significant that it would make a difference in the outcome. Explain why the Board's mistake is so important that the error should cause the Commission to reverse the Board's decision. Use plain language to explain your arguments.

Keep in mind that if the Board decision contains a decision about credibility of a witness that appeared before the Board, the Board's decision on credibility is binding on the Commission. The Board gets to choose if it believes a witness's testimony because the Board sees and/or hears the witness, not the Commission. You may disagree, but asserting that the Board made a mistake because it believed opposing testimony by a witness that appeared before the Board is a very difficult argument.

Use headings to help the Commissioners understand what you are discussing. Set off each issue with a heading similar to a chapter title that describes the arguments that will follow. If possible, follow the order you used in the Statement of Issues section of your brief.

For every statement of law you make in the brief, try to cite to regulation, or legal treatise that supports your statement.	a court case, statute

(Attached more pages if needed.)

Conclusion

Summarize what your position is very briefly. Then, to it to do. For example, you may ask the Commission to VACATE (invalidate) the decision and REMAND (send the more evidence or rehear the case, or MODIFY the ECOMMISSION to do what the Commission does not have you benefits or order the employer to rehire you. Sign an assistant to help you, verify that you read and undebrief.	REVERSE the Board's decision, to ne case back) to the Board to allow Board's decision. Do not ask the e the power to do, such as award and date the brief, and if you had
*	
	. (Attach more pages if needed.)

Appellant, (party filing appeal)				
vs.				
	AWCR Decision No.			
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No			
SELF-REPRESENTED AP	PELLANT'S REPLY BRIEF			
I,, am the	e Appellant. This is my reply brief which contains			
my responses to the appellee's brief and a conclusion stating what I would like the Commission				
to do to resolve my appeal.				
The person filing this doe	cument MUST sign below.			
Signature	Date			
Mailing	Address			
City St	tate, Zip			
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Telephone Number	Fax Number and/or E-mail			
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These are my responses to the statements made in the appellee's brief:					

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Conclusion

I would like the Commission to	

Appellant, (party filing appeal)	
VS.	
	AWCAC Appeal No
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No.
	XCERPT OF RECORD COVER SHEET
	the Appellant Appellee. This is my
excerpt of record which contains the docume	nts that I refer to in my brief. Each page is
numbered from to The attached	index lists the documents and the pages where $% \left(1\right) =\left(1\right) \left(1\right) $
each document is found.	
The person filing this doe	cument MUST sign below.
the person ming this doc	unient Piosi sign below.
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☐ faxed, ☐ emailed, or ☐ hand delivered to the Alaska	of Record and all supporting documents were \square mailed, a Workers' Compensation Appeals Commission, <u>and</u> on the nailed, \square faxed, \square emailed, or \square hand delivered to the ore pages if needed.)
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SELF-REPRESENTED LITIGANT'S EXCERPT OF RECORD INDEX

Document Date	Document Title	Excerpt Page Number Where Document Begins

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Appellant, (party filing appeal)	
VS.	
Appellee(s). (all other parties to appeal)	AWCAC Appeal NoAWCB Decision NoAWCB Case No
SELF-REPRESENTED LITIGANT	'S REQUEST FOR ORAL ARGUMENT
I,, a	m the Appellant Appellee. I request that the
Commission schedule oral argument in this appe	eal. I would like to attend
by telephone. Please provide me with the ca	ıll-in information.
	he Zoom meeting invitation. My email address is:
The person filing this d	locument MUST sign below.
Signature	e Date
Mail	ling Address
City	,, State, Zip
Telephone Number	Fax Number and/or E-mail
I certify that on (date) this Request hand delivered to the Alaska Workers' Compensation	ATE OF SERVICE t for Oral Argument was ☐ mailed, ☐ faxed, ☐ emailed, or Appeals Commission, and on the same date a complete copy of ☐ hand delivered to the parties checked at the addresses listed
	Opposing party <u>or</u> party's attorney (if represented):
Print name of person who served document	Signature of person who served document

Appel	lant, (<i>party filing appeal</i>)	
VS.		
		AWCAC Appeal No
Annal	loo(s) (-#	AWCB Cons No.
Appei	lee(s). (all other parties to appeal)	AWCB Case No.
	SELF-REPRESENTED LITIGA	NT'S SHOW OF GOOD CAUSE
I,	, am the	Appellant 🗌 Appellee. The reasons why
		are:

		(Attach more pages if needed.)
	The person filing this do	cument MUST sign below.
	Signature	Date
	Mailing	Address
	City, St	rate, Zip
	Telephone Number	Fax Number and/or E-mail
		OF SERVICE
I certify tha		Good Cause was mailed, faxed, emailed, or Appeals Commission, and on the same date a complete
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addic33c3 iis	secu below. (Attach more pages if needed.)	Opposing party or party's attorney (if represented):
Print r	name of person who served document	Signature of person who served document

Appellant, (party filing appeal)	
vs.	
	AWCAC Appeal No
Appellee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No
SELF-REPRESENTED LITIGANT'S C	HANGE OF CONTACT INFORMATION
Ι,	, am the Appellant Appellee and I
hereby inform the Commission of the follow	
Now Mailing Addross	,
New Maining Address.	
New Telephone Number:	
New Fax Number:	
New Email Address:	
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ine person ming and de	Camene 1-1051 Sign Below.
Signature	Date
машп	g Address
City, S	State, Zip
Telephone Number	Fax Number and/or E-mail
CERTIFI(CATE OF SERVICE
hand delivered to the Alaska Workers' Compensation	e of Contact Information was \square mailed, \square faxed, \square emailed, or n Appeals Commission, <u>and</u> on the same date a complete copy of \square hand delivered to the parties checked at the addresses listed
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Print name of person who served document	Signature of person who served document

Appellant, (party filing appeal)	
vs.	
Residence of the control of the cont	
	AWCAC Appeal NoAWCB Decision No
Appellee(s). (all other parties to app	eal) AWCB Case No
SELF-REPRESENTED LI	FIGANT'S CERTIFICATE OF SERVICE
Ι,	am the Appellant Appellee. I certify that on
, a	copy of my:
☐ Notice of Appeal and Statement of G	rounds*
Designation of Recordings for Transc	ription
Opposition to Motion/Request	☐ Brief
☐ Excerpt of Record	☐ Request for Oral Argument
Other:	was/were:
mailed hand delivered	faxed
*Required: If opposi Director, Alaska Workers' a State a Compensation Division P.O. Box 115512 P.O. Box Juneau, AK 99811 Juneau, AK 9	eral's Office 110300
Additional names and addresses:	
The person filing t	his document MUST sign below.
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Signature	Date
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	City, State, Zip
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VS.		
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Annelle	ee(s). (all other parties to appeal)	AWCB Decision NoAWCB Case No
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		n the 💹 Appellant 🔛 Appellee. I request tha
ine Commis	sion reconsider its	
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of this docun	nent was 🗌 mailed, 🔲 faxed, 🔲 emailed, c	Appeals Commission, \underline{and} on the same date a complete copy or \square hand delivered to the parties checked at the addresses
listed below.	(Attach more pages if needed.)	Opposing party or party's attorney (if represented):
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Print n	name of person who served document	Signature of person who served document